

Australian Financial Services Licensee

GMTK GLOBAL PTY LIMITED AFS Licence Number 400364

Extracted from ASIC's database at AEST 16:38:00 on 08/06/2018

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Name: GMTK GLOBAL PTY LIMITED

Licence Number: 400364 Status: Current

> ABN: 55 147 766 336 Commenced: 13/05/2011

Addresses

Principal Business Address: Level 1, 493 St Kilda Road, MELBOURNE VIC 3004

Service Address: SOPHIE GRACE PTY LTD, Level 22, 85 Castlereagh Street SYDNEY NSW 2000

Trading Name(s)

Name: GMT MARKETS Commenced: 16/03/2018

Roles

Role: Auditor of FS Licensee Name: PETER JAMES VARLEY

Commenced: 25/11/2013

External Dispute Resolution

Scheme: Financial Ombudsman Service

Membership Number: 25605 Commenced: 18/02/2011

Licence Authorisation Conditions

From: 23/05/2016

Details: 1. This licence authorises the licensee to carry on a

financial services business to:

(a) provide general financial product advice for the following classes of financial products:

- (i) deposit and payment products including:
- (A) basic deposit products;
- (B) deposit products other than basic deposit products; and
- (C) non-cash payment products;
- (ii) derivatives;
- (iii) foreign exchange contracts; and
- (iv) securities;
- (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) derivatives; and
 - (B) foreign exchange contracts; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products including:

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Licence Authorisation Conditions

- (1) basic deposit products;
- (2) deposit products other than basic deposit products; and
- (3) non-cash payment products;
- (B) derivatives;
- (C) foreign exchange contracts; and
- (D) securities; and
- (c) make a market for the following financial products:
 - (i) foreign exchange contracts; and
 - (ii) derivatives;

to retail and wholesale clients.

From:

13/05/2011

Details:

- This licence authorises the licensee to carry on a financial services business to:
- (a) provide general financial product advice for the following classes of financial products:
 - (i) deposit and payment products including:
 - (A) basic deposit products;
 - (B) deposit products other than basic deposit products; and
 - (C) non-cash payment products;
 - (ii) derivatives;
 - (iii) foreign exchange contracts; and
 - (iv) securities;
- (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) derivatives; and
 - (B) foreign exchange contracts; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products including:
 - (1) basic deposit products;
 - (2) deposit products other than basic deposit products; and
 - (3) non-cash payment products;
 - (B) derivatives;
 - (C) foreign exchange contracts; and
 - (D) securities; and
- (c) make a market for the following financial



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Licence Authorisation Conditions products: (i) foreign exchange contracts; and

to retail and wholesale clients.

(ii) derivatives;

Further information relating to this Licensee may be purchased from ASIC.